

## CORPORATE ACCOUNT OPENING

1. Company Details	
Name	
Registered Address	
Phone No.	
Website	
Email	
Business Activities	
Country of Incorporation	
Incorporation Date	
Business License Number	
VAT Certificate No.	
External financial auditors since date	
If it is listed, indicate the name of the stock exchange and the quotation board.	
How many direct and indirect subsidiaries does the company have? Provide a group chart	

2. Business Activity	
<ul style="list-style-type: none"> <li>Type of Business: Large-scale (&lt;100,000 oz / year) <input type="checkbox"/></li> <li style="margin-left: 100px;">Medium scale (30-100,000 oz / year) <input type="checkbox"/></li> <li style="margin-left: 100px;">Small-scale (&gt; 100,000 oz / year) <input type="checkbox"/></li> <li style="margin-left: 100px;">Others, Specify:</li> </ul>	
<ul style="list-style-type: none"> <li>Description of the main commercial activity</li> </ul>	
<ul style="list-style-type: none"> <li>In which countries do you currently trade your precious metals?</li> </ul>	



# VIREN JEWELLERS (L.L.C)

3. Beneficiary Owners				
Shareholders (More than 25%)				
Percentage (%)	Name	Address	Country of Incorporation/ Nationality	Date of Incorporation/ Date of Birth
Final effective owner (more than 25% - Only individual)				
Percentage (%)	Name	Address	Nationality	Date of Birth

4. Administration Structure				
	Name	Title	Nationality	Date of Birth
Board of Directors				
Senior Management				

5. Financial Information			
5.1. Financial statement details			
	Currency	Last Report Period	Last year
• Paid up Capital			
• Purchase			
• Sales			
• Net Income			
<i>Provide a copy of the latest annual report</i>			
5.2 Other Financial Information			
• Financing source of operation	Own capital		
	Government entity name:		
	Bank loan name:		
	Third party loan name:		
• What usual payment method does the Company use to pay its suppliers?	Payment type	Percentage (%)	
	Bank transfer		
	Checks		
	Cash		



## 6. Human Resources

<ul style="list-style-type: none"> <li>No. of employees in the company</li> </ul>	
<ul style="list-style-type: none"> <li>No. of employees in the group</li> </ul>	

## 7. PRECIOUS RESPONSIBLE METAL SUPPLY CHAIN POLICY

<ul style="list-style-type: none"> <li>Has your company established a responsible gold supply chain for conflict-affected and high-risk areas policy that is consistent with the standards set out in the model supply chain policy in Annex II of the OECD for Responsible Supply Chains of Conflicting Minerals - Affected and High Risk Areas?</li> </ul>	<p>Yes - Provide a copy</p> <p><input type="checkbox"/> No</p>
<ul style="list-style-type: none"> <li>Does your company comply with or plan to comply with the OECD Due Diligence Guide for Responsible Mineral Supply Chains in Conflict-Affected and High-Risk Areas?</li> </ul>	<p>Yes</p> <p><input type="checkbox"/> No</p>
<ul style="list-style-type: none"> <li>Does your company comply with any of the following industry initiatives:  RJC Chain of Custody Standard  RJC Code of Practice  WGC Free Gold Conflict Standard  Fair Trade Standard  Fair Mining Standard  Others, specify: _____</li> </ul> <p>Provide a copy of certification</p>	<p>Additional Comments</p>
<ul style="list-style-type: none"> <li>What are the established procedures to guarantee that the precious metals purchased have not financed conflicts?</li> </ul>	

## 8. POLITICALLY EXPOSED PERSON (“PEP”) STATUS

- Do any of your directors, shareholders or authorized personnel hold, have previously held or actively seeking a position or being considered for a prominent public position?

( ) Yes ( ) No : if yes, Please provide details of the position below (title, department, country, etc)

.....

- Do any family member/close associate of your directors, shareholders or authorized personnel hold, have previously held or actively seeking a position or being considered for a prominent public position?

( ) Yes ( ) No : if yes, Please provide details of the position below (title, department, country, etc)

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## Definition of “PEP”

**Entrusted with prominent public functions, for example Heads of State or of government, a government minister, a senior public servant, a senior judicial or military official, a senior executive of a state-owned corporation, a member of the legislature, a senior official of a political party, or a member of the senior management of an international organization.**

## 9. ADDITIONAL DISCLOSURE

- Have any of the directors, shareholders, or authorized personal been the subject of any proceedings of a disciplinary or criminal nature or have been notified of any potential proceedings or investigation, under any law in any jurisdiction?

( ) Yes ( ) No : if yes, Please describe below

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- Have any directors, shareholders or authorized personal been convicted for any offence or is being subject to any pending proceedings relating to money laundering or terrorist financing?

( ) Yes ( ) No : if yes, Please describe below

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\*\*\* If the answer to the above is “Yes”, please provide supporting documents. Where appropriate, to provide all relevant particulars.

<b>10. ANTI MONEY LAUNDERING (ALD) - COMBAT FINANCIAL TERRORISM (CTF)</b>	
• Is your company subject to the Money Laundering / Anti-Financial Terrorism Law / Regulation?	Yes - Provide a copy No
• Name of the AML-CTF Law / Regulation	
• Regulator Name	
• Has your company established a compliance program that contains AMUCFT policies and procedures, in accordance with internal and international laws, regulations and standards?	Yes - Provide a copy No

<b>11. BRIBERY POLICY</b>	
• Does your company have a bribery policy?	<input type="checkbox"/> Yes - Provide a copy <input type="checkbox"/> No
• Has the company or Senior Management anywhere in the world ever been charged with violating applicable anti-bribery laws or regulations?	<input type="checkbox"/> Yes - Provide a copy <input type="checkbox"/> <input type="checkbox"/> No

I/We hereby declare that the information provided above is true, correct and complete as on date of writing to best of my knowledge and that all documents submitted along with this application are genuine.

Further I/We hereby undertake to automatically and immediately inform Viren Jewellers LLC of any material changes in the information provided herein and agree that Viren Jewellers LLC is neither responsible nor liable for any losses or activity performed on the basis of the information provided. I also agree to provide any additional information or documentation that may be required from time to time by Viren Jewellers LLC or its authorized agents or representatives.

	Authorized Signatory	Authorized Signatory
Signature		
Print Name		
Title		
Company's Stamp		
Date and Location		



### **Due Diligence Requirements for accounts opened with VIREN JEWELLERS LLC**

- Certificate of Incorporation
- Memorandum and Articles of Association
- Trade License
- Full details of Beneficial owners if not mentioned in M&As
- ID and Proof of Address of any Beneficial Owners - own 25% or more of the Company and not a Director (see below).
- List of directors if not mentioned in M&As
- List of Authorized Signatures (on letterhead and signed by your authorized signatories)
- List of Authorized Traders
- Registered Address of the Company
- Anti-Money Laundering Policy/ Anti Bribery and Corruption policy.
- Standing Settlement Instruction (SSI)/ Bank account details on your letterhead.
- Latest Audited Financials – If the Company is newly established and no Audited Financials are available then a copy of a recent Bank account statement will be required.
- Completed CORPORATE ACCOUNT OPENING of VIREN JEWELLERS LLC
- VAT Certificate

Documents do not need to be certified, however wherever possible an employee or representative of Viren Jewellers LLC should verify the IDs of the Directors.

***(Please note that the account will be opened after examining all KYC documents and approval from the Compliance Officer, which may take at least 72 hours.)***